

Date of meeting Wednesday, 19th April, 2017

Time 7.00 pm

Venue Training Room 1 - Civic Offices, Merrial Street, Newcastle-under-Lyme, Staffordshire, ST5 2AG

Contact Geoff Durham



**NEWCASTLE
UNDER LYME**
BOROUGH COUNCIL

Civic Offices
Merrial Street
Newcastle-under-Lyme
Staffordshire
ST5 2AG

Audit and Risk Committee

AGENDA

PART 1 – OPEN AGENDA

- 1 APOLOGIES**
- 2 DECLARATIONS OF INTEREST**
To receive Declarations of Interest from Members on items included in the agenda
- 3 MINUTES OF PREVIOUS MEETINGS** **(Pages 3 - 6)**
To consider the minutes of the previous meeting(s).
- 4 RISK MANAGEMENT STRATEGY** **(Pages 7 - 54)**
- 5 CERTIFICATION WORK 2015/16** **(Pages 55 - 58)**
- 6 AUDIT PLAN 2016/17** **(Pages 59 - 80)**
- 7 CODE OF CORPORATE GOVERNANCE** **(Pages 81 - 96)**
- 8 COUNTER FRAUD ARRANGEMENTS** **(Pages 97 - 100)**
- 9 EXTERNAL QUALITY ASSESSMENT OF NEWCASTLE
BOROUGH COUNCIL'S INTERNAL AUDIT SERVICE** **(Pages 101 - 118)**
- 10 URGENT BUSINESS**
To consider any business which is urgent within the meaning of Section 100B(4) of the Local Government Act 1972

Members: Councillors Dymond (Vice-Chair), Pickup (Chair), Waring, Cooper, Stubbs, White and Snell



Working to be a co-operative council

PLEASE NOTE: The Council Chamber and Committee Room 1 are fitted with a loop system. In addition, there is a volume button on the base of the microphones. A portable loop system is available for all other rooms. Should you require this service, please contact Member Services during the afternoon prior to the meeting.

Members of the Council: If you identify any personal training/development requirements from any of the items included in this agenda or through issues raised during the meeting, please bring them to the attention of the Democratic Services Officer at the close of the meeting.

Meeting Quorums :- 16+= 5 Members; 10-15=4 Members; 5-9=3 Members; 5 or less = 2 Members.

FIELD_TITLE

Officers will be in attendance prior to the meeting for informal discussions on agenda items.